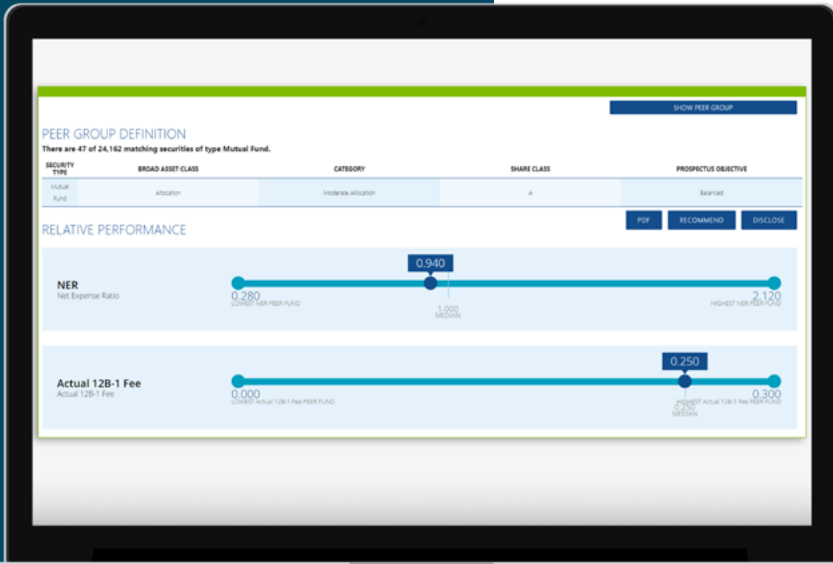


PeerCompare

Compare alternatives, provide best-interest recommendations, and stay in compliance.

The SEC’s Reg BI Care Obligation mandates, “exercising reasonable diligence, care, and skill in making the recommendation to, among other things, understand the potential risks, rewards, and costs associated with a recommendation, and having a reasonable basis to believe that the recommendation is in the best interest of a retail customer.”



PeerCompare generates a product peer group of reasonably available alternatives, comparing:

Cost

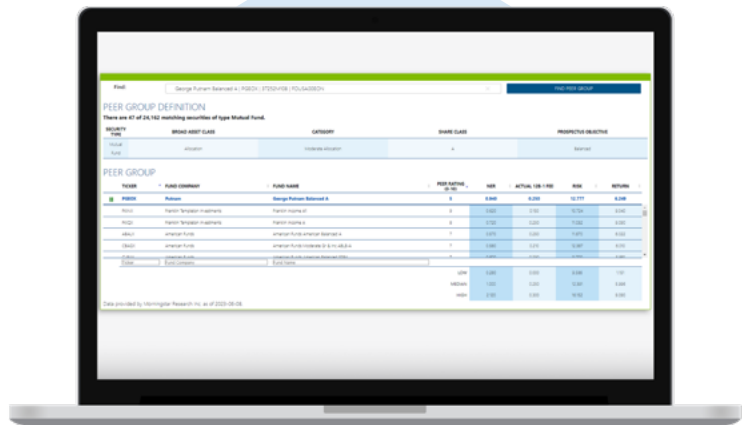
Risk

Return

With PeerCompare, powered by COMPLY™ and InvestorCOM, you can easily generate a product peer group of available investment alternatives based on cost, risk, and return — and **confidently deliver compliant recommendations** while tracking all activity and relevant notes.

The cloud-based application natively captures a copy of the recommendation and best interest rationale, which can then be digitally disclosed to the investor, supporting compliance and investor engagement.

Make investment recommendations, track activity, and demonstrate best interest obligation with confidence.



Talk to an expert today!
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