



# Strategic Integration: DealCloud

**Don't let conflicts slip through the cracks. Leverage strategic integrations to gain a 360-degree view of individual- and firm-based conflicts.**

## MITIGATE CONFLICTS ON AN INDIVIDUAL AND FIRM LEVEL FROM ONE INTEGRATED PLATFORM

Move beyond siloed risk monitoring. As a hedge fund or private equity firm, uncovering potential conflicts of interest on both an individual and firm level is crucial to protecting your firm from potential risk. However, with compliance and deal teams' data often spread across multiple systems and compiled in separate spreadsheets, your firm is likely missing out on critical cross-functional data connections and duplicating efforts across teams.

The COMPLY™ partnership with Intapp is the solution to that problem. The strategic integration aligns the ComplySci® Platform's ability to record, monitor, and report on employee personal trading activities with DealCloud's comprehensive deal-based monitoring capabilities, allowing compliance teams to pinpoint firm- and individual-based conflicts, record actions, and document resolutions. Key benefits for professional and financial services firms include:



**Holistic search functionality across integrated personal and team conflicts, sanctions, and control room data, reducing the potential for missed conflicts or improper activities.**



**A comprehensive history and audit trail of conflict checks, which is easy to pull for regulators and reduces the risk of penalties.**



**A configurable system which searches a firm's complex transactions to ensure proper conflict checks and approvals.**



**Streamlined requests for conflict checks to increase efficiency, improve user experience, and provide deeper visibility into checks, statuses and next steps.**

Leveraging Control Room and Conflict Check on the ComplySci Platform in conjunction with DealCloud, firms reduce the potential for missed conflicts or improper activities. **Welcome to 360-degree protection.**

**The strategic integration enables firms to easily identify risk points associated with:**

- Personal trading
- Private investments
- Conflicts of interest
- Outside business activities
- Gifts and entertainment
- Material nonpublic information (MNPI)
- And much more

**Talk to an expert today!**

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# COMPLY™