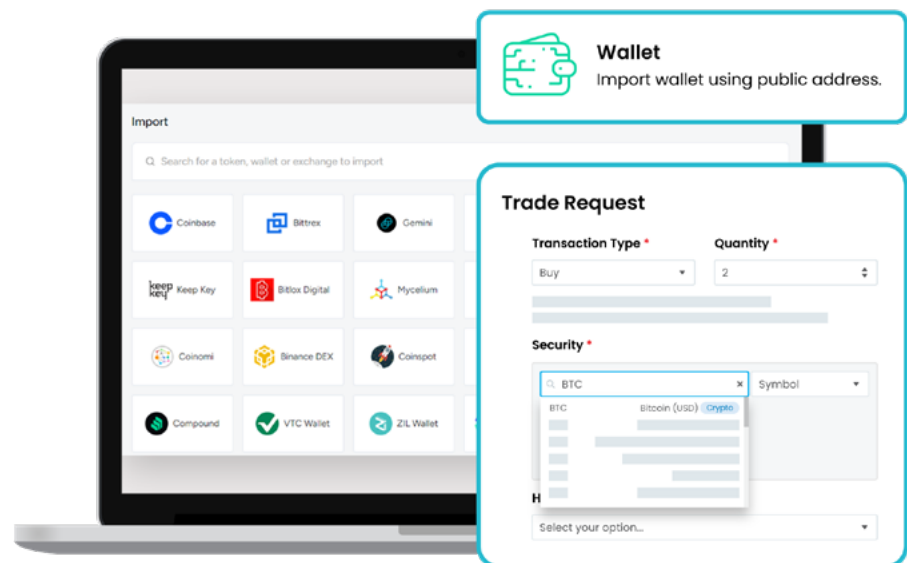


# COMPLY Digital Asset Trade Monitoring



**The first and only end-to-end compliance software to pre-clear, monitor, verify and report employees' cryptocurrency transactions.**

COMPLY Digital Asset Trade Monitoring automatically captures transactions and holdings from employees' cryptocurrency accounts and monitors them for alignment with your firm's policies and procedures, just like a traditional security. Employees can trade and disclose activities with ease while compliance has full visibility into and verification of employee activities, eliminating manual uploads or offline reconciliation.



## Digital Asset Trade Monitoring with Confidence.

- Simplify cryptocurrency trade disclosure for your employees.
- Leverage real-time visibility for your compliance teams.
- Maintain your firm's code of ethics policies.

## INNOVATIVE SOLUTIONS FOR REGULATORY COMPLIANCE COMPLEXITIES

Digital Asset Trade Monitoring is the first and only compliance software solution to integrate end-to-end cryptocurrency compliance monitoring with your firm's other trade monitoring processes. COMPLY's partnership with ZenLedger, the industry-leading blockchain data and tax solution, bridges the gap between cryptocurrency compliance and regulatory compliance, offering a seamless solution to compliance monitoring for digital assets today and in the future.

- Comprehensive coverage of all major crypto exchanges and wallets.
- Secure API integration mitigates data interruptions.
- Data remains confidential and protected by COMPLY's data security practices.

# COMPLY™

With Digital Asset Trade Monitoring, compliance teams have full visibility into and verification of employees' cryptocurrency transactions within The ComplySci Platform, ensuring compliance with your firm's policies and procedures and eliminating manual uploads or offline reconciliation for cryptocurrency trading activity.

## TURNKEY ONBOARDING AND SELF-SERVICE MAINTENANCE

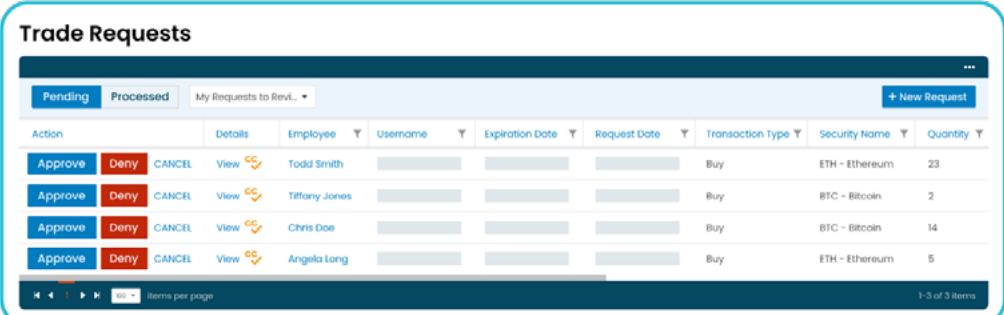
Turnkey onboarding, minimal ongoing maintenance and an intuitive user interface ensure a seamless integration to your existing compliance processes. After employees link their crypto accounts with our intuitive self-serve account configuration, compliance gains full visibility into trading activities. Employees have a frictionless crypto trading experience, just like any traditional security, while compliance knows – and can verify – that policies and procedures are followed. Compliance activities, from trading to gifts and entertainment and more, are all united on one software platform.

## HOW IT WORKS

- Add Digital Asset Trade Monitoring to ComplySci Trade Monitoring.
- Set up compliance rules to align with your firm's policies and procedures.
- Employees link their Digital Asset accounts.
- Employees search and pre-clear their trade.
- Compliance teams approve or deny based on their policies and procedures.

## THEN

- Compliance teams review their cryptocurrency feeds to verify employee activities.
- Compliance activities are automatically documented for reporting and audit purposes.



**Trade Requests**

Pending Processed My Requests to Rev. + New Request

Action	Details	Employee	Username	Expiration Date	Request Date	Transaction Type	Security Name	Quantity
Approve Deny	CANCEL View	Todd Smith				Buy	ETH - Ethereum	23
Approve Deny	CANCEL View	Tiffany Jones				Buy	BTC - Bitcoin	2
Approve Deny	CANCEL View	Chris Doe				Buy	BTC - Bitcoin	14
Approve Deny	CANCEL View	Angela Long				Buy	ETH - Ethereum	5

Items per page 1-3 of 3 items

**Establish a digital process to pre-clear all trade requests, including cryptocurrencies, and evidence your compliance processes in a single platform.**

**Talk to an expert today!**

[sales@comply.com](mailto:sales@comply.com)

**COMPLY**<sup>TM</sup>