

POWER YOUR COMPLIANCE PROGRAM WITH 300+ DIRECT BROKER FEEDS.

U.S. Brokerage Firms

- 180 Degree Capital
- 1st Global Capital
-
- A**ccess Softek
- Advisors Asset Management
- Aegis Capital Corporation
- AG BD, LLC
- Aghaz
- Alegeus
- Alegeus – AP
- Alinea
- Alkanza
- Allegheny Investments
- Allen & Company
- AllianceBernstein
- American Portfolios Financial Services
- Ameriprise Financial
- Ameritas Investment
- Andersen Tax LLC
- Andrew Garrett, Inc.
- Ant Money
- Atalanta Sosnoff Mgmt LLC
- Avenue
- AXA Advisors
-
- B** B Graham & Company
- B. Riley & Co.
- Baker & Co.
- Bamboo
- Bank of Oklahoma
- Baraka
- BCG Securities
- Beanstox RIA
- Belle Haven Investments
- Benchmark Securities
- Bend
- Bend (Allegacy)
- Betterment*
- BHK Securities
- Bishop, Rosen & Co.
- Blast.com
- Bleakley Financial Group
- BMO Private Bank
- Brave Warrior Advisors
- Brinker Capital
- Brown Advisory
- Bruderman Brothers LLC
- Buckman, Buckman & Reid
-
- C**adaret, Grant & Co.
- Cambridge Investment Research
- Cary Street
- Cash App
- Centaurus Financial
- Cetera Advisor Networks
- CFT Securities
- Charles Schwab
- Chase Investment Services Corp.
- Chipper Cash
- Chipper Uganda
- Citi Investment Capital (Chaka)
- Citi Private Bank
- Citigroup
- CitiGroup Global Markets
- City National Securities
- Coastal Equities
- Coburn & Meredith
- Coker & Palmer, Inc.
- Commerce Brokerage
- Commonwealth Financial Network
- Concept Capital
- Craig-Hallum Capital Group
- Credit Suisse
- Cresap Incorporated
- Crestone Securities
-
- D**avenport & Company LLC
- David A. Noyes & Company
- Deutsche Bank Alex. Brown
- Digit
- Dorn & Co. Inc.
- Dougherty & Company LLC
- Douugh
- Drivewealth
-
- E***Trade
- Edward Jones
- EFG Capital International
- Emcee (BITS)
- Family Management Securities, LLC
-
- F**elt and Company
- Fidelity
- Fidelity Institutional Wealth Services
- Fieldpoint Private Securities
- First Allied Securities
- First Citizens Investor Services
- First Republic Bank
- First State Financial Management
- Flink
- Foescite
- Freeman Capital
- Frost Brokerage Services Inc.
-
- G**abelli Funds
- Galt & Taggart (G&T)
- GF Investment Services
- Gilder, Gagnon and Howe
- Glen Eagle Advisors
- Glenmede
- Global Investor Services
- Globalise
- Goalsetter
- Goldman Sachs (PWM)
- Goodments
- Granite Group Advisors
- Greenlight
- Grupo Bursatil Mexicano (GBM)
- Guardian Wealth
- GW & Wade Asset Management
- GWFS Equities
-
- H**ancock Whitney
- Harbor Investments
- Hatch
- HD Vest Financial Services
- Hefren Tillotson, Inc.
- Hemista
- Hennion & Walsh
- Herbert J Sims & Co.
- Hightower Advisors
- Hilliard Lyons
- HiSA
- HiThink
- HSBC Private Bank
- HSBC Securities (USA)
- Huntleigh Securities
-
- I**G
- INDWealth
- Infinex Investments, Inc.
- Interactive Brokers
- Interactive Investor
- International Assets Advisory
- Investacorp
- Investment Cards (ICM)
- IQVestment
-
- J**anney Montgomery Scott
- JD
- Jefferies, LLC
- Jefferies Prime Brokerage
- JMP Securities
- John Graham & Associates
- Joseph Gunnar & Co.
- JP Morgan Private Bank
- JP Morgan Private Bank (International)
- JP Morgan Securities
-
- K**eel Point Capital
- Kestra Investment Services
- Key Investment Services
- Killik & Co.
- KoinEra
-
- L** M Kohn & Company
- Ladenburg Thalman
- Landaas & Co.
- LaSalle St. Securities
- Learn and Earn
- Leerink Partners LLC
- LPL Financial
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- M** Holdings Securities
- MaryGold
- Mason Securities
- Masterworks
- Maxim Group
- Merrill Lynch*
- Merrion Securities
- Mesirov Financial
- Mid Atlantic Corp.
- MML Investors Services
- MoneyLion
- Monroe Financial
- Moors & Cabot Investments
- Morgan Stanley (Private Wealth Management)
- Morgan Stanley (Retail)
- MotivHealth
- Muriel Siebert & Co.
- MyWallSt
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- N**ational Financial
- National Securities Corp.
- Nations Financial Group
- NBC Securities
- Needham & Co. LLC
- Neuberger Berman
- Newbridge Securities Corp.
- NewEdge Securities
- NEXT Financial
- Nomad
- Northern Trust

*Updated October 2023 - *Broker may not accommodate feed request based on requirements including, but not limited to, minimum number of accounts, geographical location, and broker/dealer status. ComplySci cannot guarantee that any particular broker or clearing firm will accommodate all requests in every case. Individual account authorization may be required. Timing subject to the broker's discretion.

U.S. Brokerage Firms

Northern Trust Consolidated
Northern Trust Securities Inc.
Northwestern Mutual Investment Services
NYLIFE Securities
.....
Oppenheimer & Company
Oshare
.....
Pacific Premier Trust
Pamlico Capital
Panchain
Park Avenue Securities
Paulson Investment Company
Pearler
Pershing Advisor Solutions
Personal Adviser
Personal Capital
Piper Jaffray
PNC Wealth Management
Podesta & Co.
Principal Securities
Privat3 Money
Prospera Financial Services
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Quiena
Quiena Argentina

Racional
Raymond James
RBC Wealth Management/Advisor Services*
Regal Securities
Register Financial
Resource Securities
Revolut
RIM Securities, LLC
Robert W. Baird & Co.
Robinhood
Rockefeller & Co. LLC
Rothschild Investment Corporation
Royal Alliance Associates
RubicoIn
.....
SagePoint.Financial.....
Sanctuary Securities, LLC
Sanders Morris Harris
SavingsOak
SBI Thai Online Securities Co.
Securian Financial Services
Securities America
SEI
Shareholders Service Group
SigmaSecurities
Signator Investors Inc.

Spartan Capital Securities
SproutFI/Northbound Securities
Stackin
Stake
Starship
Stifel Financial
Stonecrest Capital Markets
Summit Brokerage Services
Summit Equities
Summit Trail Advisors
Sunbelt Securities, Inc.
SunTrust Investment Services
SV Capital
Symphonic Securities
Synovus Securities
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T.Rowe.Price*.....
TD Ameritrade
Templum
Templum/Landa
Thoroughbred Financial Services, LLC
Thrivent Investment Management
Tocqueville Securities
Triad Advisors
Triad Securities Corp.
Truist Bank
Twenty-First Securities

U.S. Capital Advisors
UBS
Uhlmann Price Securities
UMB Financial Services
US Bancorp Corporate Trust and Custody
US Trust
USAA Investment Management
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Vanguard
Vested.....
Vontobel
Voya Financial Partners
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Watermark Securities
Wax Asset Management, LLC.....
Wayne Hummer Wintrust
WealthSimple
Wedbush Securities
Wellington Shields
Wells Fargo
Western International Securities
William Blair & Company
Williams Financial Group
Winvesta
World Equity Group

International Brokerage Firms

AJ Bell (UK)
.....
Bank of Montreal (CA)*
Barclays Stockbrokers (UK)*
BMO Private Bank (CA)*
.....
Charles Stanley Group (UK)*
CIBC (CA)*
.....
Fidelity International (UK)

IG Prime (UK)
Interactive Brokers (Global)
Interactive Investor (UK)
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JB Were (AUS)
JP Morgan International Private Bank (London)
JP Morgan International Private Bank (Luxembourg)
JP Morgan International Private Bank (SG)

JP Morgan International Private Bank (SZ)
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Killik & Co (UK)
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Questrade (CA)*
.....
RBC (CA)*
.....
Saxo Bank (Global)*
Scotiabank (CA)*

TD (CA)*
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Vontobel (SZ)
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Wealthsimple (CA)*